

Yen-Te Wu

Chinese Culture University College of Law

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EDUCATION

Washington University School of Law, St. Louis, MO

- *Juris Doctor (J.D.)*, 2010
- *Master of Laws (LL.M.) in U.S. Law*, 2008

Case Western Reserve University School of Law, Cleveland, OH

- *Master of Law (LL.M.) in Intellectual Property Law*, 2006

Chinese Culture University, Taipei, Taiwan

- *Bachelor of Law (LL.B.)*, 2003

HONORS AND AWARDS

The Teaching Excellence of the Year Award, Chinese Culture University, 2018

The Teaching Excellence of the Year Award, Chinese Culture University, 2015

The Teaching Excellence of the Year Award, Chinese Culture University, 2012

POSITIONS HELD

1. Chinese Culture University College of Law, Taipei, Taiwan

- **Professor** Mar. 2018-present
- **Director**, Financial and Economic Law Section Aug. 2017-present
- **Associate Professor** Feb. 2015-Mar. 2018
- **Assistant Professor** Aug. 2011-Feb. 2015

Major Teaching and Research Interests include Corporation law, Securities Regulation, and Financial Law.

2. National Taipei University College of Law, Taipei, Taiwan

- **Adjunct Professor** Mar. 2018-present
- **Adjunct Associate Professor** Feb. 2015-Mar. 2018
- **Adjunct Assistant Professor** Aug. 2014-Feb. 2015

3. National Taipei University of Business, Department of Finance, Taipei, Taiwan

- **Adjunct Professor** Mar. 2018-present
- **Adjunct Associate Professor** Feb. 2015-Mar. 2018
- **Adjunct Assistant Professor** Aug. 2013-Feb. 2015

4. **Of Counsel**, Brain Trust International Law Firm, Taipei, Taiwan.
5. **Arbitrator**, The Chinese Arbitration Association, Taipei (CAA).
6. **Financial Arbitrator**, The Chinese Arbitration Association, Taipei (CAA).

PROFESSIONAL AFFILIATION

1. **Lecture**, Judges Academy R.O.C. (Taiwan)
2. **Drafter-grader of National Examinations**, Ministry of Examination R.O.C. (Taiwan)
3. **Associate Editor-In-Chief**, The Journal of Business Law and Finance, 2018 to date
4. **Member**, Taiwan Financial Law Association, 2018 to date
5. **Executive Editor**, Hwa-Kang Law Review, 2017 to date
6. **Member**, Chinese (Taiwan) Society of International Law, 2012 to date
7. **Member**, International Law Association, 2012 to date
8. **Lecture**, Research, Development and Evaluation Commission, Taipei City Government, Taiwan, 2017 to date.
9. **Visiting Scholar**, Research Center for Humanities and Social Sciences, Academia Sinica, Taipei, Taiwan, 2015.
10. **Visiting Scholar**, Research Institute for the Humanities and Social Sciences, Ministry of Science and Technology, Taipei, Taiwan, 2014.
11. **Visiting Scholar**, Institutum Iurisprudentiae, Academia Sinica, Taipei, Taiwan, 2012.
12. **Visiting Scholar**, The University of Chicago Law School, Chicago, IL, U.S.A., 2012.
13. **Researcher**, Science & Technology Law Institute, Institute for Information Industry, Taipei, Taiwan, 2010-2011.
14. **Summer Associate**, Baker Hostetler LLP, Cleveland, OH, U.S., 2006.

GRANTS

1. Fund for Study for Restructuring of the Responsibility of Board of Directors and Protection of Shareholders' Rights in Mergers and Acquisitions, 2016-2017, Chinese Culture University
2. Fund for Finality in U.S. Securities Fraud Litigation: An Analysis of Res Judicata under the Dodd-Frank Act, 2015-2016, Chinese Culture University
3. Fund for Taiwan Join the Trans-Pacific Partnership (TPP) Research, 2014, Ministry of Foreign Affairs R.O.C. (Taiwan).
4. Fund for Study on the Cross-Border Scope of the Private Securities Fraud Litigation after Morrison v. National Australia Bank Case, 2014-2015, Chinese Culture University
5. Fund for Joint Ventures Among the Scope of Competition Laws : Focus on the United States Antitrust Laws, 2013-2014, Chinese Culture University

6. Fund for Securities and Exchange Act Research, 2012-2013, Chinese Culture University
7. Fund for Corporate Governance Policy Research, 2011-2012, Chinese Culture University

PUBLICATIONS

1. Yen-Te Wu, A Perspective on Corporate Social Responsibility in the Europe, *Chung Cheng Financial and Economic Law Review*, 20, 2020.01.
2. Yen-Te Wu, Commentaries on Selected Issues of the Article 93-1 of Act Governing Relations between the People of the Taiwan Area and the Mainland Area, *NCTU Law Review*, 5, 2019.09.
3. Fuldien Li & Yen-Te Wu, *Taiwan, Recognition and Enforcement of Judgments in Civil and Commercial Matters*, Hart Publishing Bloomsbury Academic, 2019.09.
4. Yen-Te Wu, The Misrepresentation of Financial Report and Element of Materiality, *Journal of Compliance and Governance*, First Issue, 2019.02.
5. Yen-Te Wu, The Amendment of the Company Act and Anti-Money Laundering Compliance, *Formosa Transnational Law Review*, 222, 2018.12.
6. Yaw-Chen Hor & Yen-Te Wu, The Application of Governing Law Involving Disputes of Foreign-Related Financial Derivatives, *The Journal of Business Law and Finance*, 1(1), 2018.12.
7. Yen-Te Wu, Commentaries on Selected Issues of the Article 173-1 of Company Act (As Amended), *Financial Law Review*, 1(3), 2018.12.
8. Yen-Te Wu, Driving Corporate Social Responsibility through the European Corporate Law: An Overview of Consumer Protection, *The Trend of Corporate Social Responsibility in the EU*, 2018.08
9. Yen-Te Wu, The Misrepresentation of Financial Report and Element of Materiality, *Taiwan Law Journal*, 338, 2018.02.
10. Yen-Te Wu, The Regulation of Market Manipulation of Securities Exchange Act in Taiwan, *Taiwan Law Journal*, 337, 2018.02.
11. Yen-Te Wu, Rethinking the Essence of Charity for Medical Foundation, *Health Law and Policy Journal*, 6, 2018.01.
12. Yen-Te Wu, FinTech Innovation and Anti-Money Laundering Compliance, *National Taiwan University Law Review*, 12(2), 2017.09. (TSSCI).
13. Yen-Te Wu, A Study on the Regulatory Regime of Enterprise Merger under Taiwan's Fair Trade Act- the Case of SPIL and ASE, *Taiwan Law Journal*, 327, 2017.09.
14. Yen-Te Wu, FinTech Innovation and Anti-Money Laundering Compliance Trends, *The Taiwan Law Review*, 267, 2017.08.
15. Yen-Te Wu, Security Price Manipulation in Mergers and Acquisitions via Special

- Purpose Vehicles, *Chung Cheng Financial and Economic Law Review*, 15, 2017.07.
16. Yen-Te Wu, A Study on Recognition and Enforcement of Commercial Cases Involving Foreign Element in Taiwan Court, *Chinese (Taiwan) Review of International and Transnational Law*, 13(1), 2017.06.
 17. Yen-Te Wu, The Model and Trend of M&A-Focus on Special Purpose Vehicle, *Taiwan Law Journal*, 320, 2017.05.
 18. Yen-Te Wu, Mergers, Material Stock Price Sensitive Information, *Taiwan Law Journal*, 315, 2017.03.
 19. Yen-Te Wu, Mergers, Acquisitions, and Insider Trading in a Gray Area-Green Point Case, *Taiwan Law Journal*, 314, 2017.02.
 20. Yen-Te Wu, An Effective Co-Regulation Framework for the OTC Derivatives Market Regulatory Regimes, *Journal of Futures and Options*, 9(3), 2016.12 (TSSCI)
 21. Yen-Te Wu, A Study on Removal of Directors by Court Order, *Taiwan Law Journal*, 309, 2016.12.
 22. Yen-Te Wu, Board of Directors Overheated and Stockholders' Equity-the Case of SPIL and ASE, *Taiwan Law Journal*, 300, 2016.07.
 23. Yen-Te Wu, Finality in U.S. Securities Fraud Litigation—An Analysis of *Res Judicata* under the Dodd-Frank Act, *Chung Cheng Financial and Economic Law Review*, 13, 2016.07.
 24. Yen-Te Wu, The Discussion of the Freedom of Religion and Disclosure of Financial Information of Religious Group under the Constitution, *Taiwan Law Journal*, 298, 2016.06.
 25. Yen-Te Wu, Joint Ventures Among the Scope of Competition Laws : Focus on the United States Antitrust Laws. *Chinese (Taiwan) Review of International and Transnational Law*, 10(1), 2014.12.
 26. Yen-Te Wu, A Study on the Cross-Border Scope of the Private Securities Fraud Litigation, *Taiwan Law Journal*, 290, 2016.02.
 27. Yen-Te Wu, A Contemporary Challenge for the Securities Fraud Litigation after *Morrison v. National Australia Bank* Case. *National Taiwan University Law Review*, 9(2), 2014.09. (TSSCI).
 28. Yen-Te Wu, Legal Compliance and Corporate Strategies in Dealing with Foreign Corrupt Practices Act of the United States, *Chung Cheng Financial and Economic Law Review*, 10, 2014.07.
 29. Yen-Te Wu, How Will *eBay v. Mercexchange* Affect Preliminary Injunction?, *NTUT Journal of Intellectual Property Law and Management*, 3(1) 2014.06.
 30. Yen-Te Wu, The Generally Accepted Accounting Principles and Accounting Fraud - A Practical Perspective Based on U.S. and Taiwan Experience, *Hsuan Chuang Law Journal* 2014.04.

31. Yen-Te Wu, Achieving Efficiency and Equality in Curbing Corporate Inversion Transactions – Evaluation of current U.S. Law and Policy, *Hwa-Kang Law Review*. 50, 2011.07.